

Shropshire Council
Legal and Democratic
Services
Shirehall
Abbey Foregate
Shrewsbury
SY2 6ND

Date: 16 November 2022
My Ref:
Your Ref:

Committee:
Audit Committee

Date: Thursday, 24 November 2022
Time: 10.00 am
Venue: Shrewsbury/Oswestry Room, Shirehall, Abbey Foregate, Shrewsbury, Shropshire, SY2 6ND

You are requested to attend the above meeting. The Agenda is attached

There will be some access to the meeting room for members of the press and public, but this will be limited. If you wish to attend the meeting please email democracy@shropshire.gov.uk to check that a seat will be available for you.

Please click [here](#) to view the livestream of the meeting on the date and time stated on the agenda

The recording of the event will also be made available shortly after the meeting on the Shropshire Council Youtube Channel [Here](#)

Tim Collard
Assistant Director – Legal and Governance

Members of Audit Committee

Simon Harris (Vice Chairman)	Roger Evans
Nigel Lumby	Julia Buckley
Brian Williams (Chairman)	

Your Committee Officer is:

Michelle Dulson Committee Officer

Tel: 01743 257719

Email: michelle.dulson@shropshire.gov.uk

AGENDA

1 Apologies for Absence / Notification of Substitutes

2 Disclosable Pecuniary Interests

Members are reminded that they must declare their disclosable pecuniary interests and other registrable or non-registrable interests in any matter being considered at the meeting as set out in Appendix B of the Members' Code of Conduct and consider if they should leave the room prior to the item being considered. Further advice can be sought from the Monitoring Officer in advance of the meeting.

3 Minutes of the previous meeting held on the 15 September 2022 (Pages 1 - 14)

The Minutes of the meeting held on the 15 September 2022 are attached for confirmation.
Contact Michelle Dulson (01743) 257719

4 Public Questions

To receive any questions from the public, notice of which has been given in accordance with Procedure Rule 14. The deadline for this meeting is 5pm on Friday 18 November 2022.

5 Member Questions

To receive any questions of which Members of the Council have given notice. The deadline for this meeting is 5pm on Friday 18 November 2022.

6 A. First line assurance: IT Services, improvements to the control environment following various Audit Reports 2022/23 (Pages 15 - 56)

The report of the Head of Automation and Technology is attached.
Contact: David Baker (01743) 254118

B. First line assurance: Audit Report Management Response – Information Security Management and IT Acceptable Usage Policy

The report of the Assistant Director Legal and Governance is attached.
Contact: Tim Collard (01743) 252756

7 First line assurance: Payroll control improvements 2022/23 (Pages 57 - 62)

The report of the Assistant Director, Workforce and Improvement is attached.

Contact: Sam Williams (01743) 252817

8 Second line assurance: Treasury Strategy Mid-Year Report 2021/22

The report of the Executive Director of Resources (Section 151 Officer) is to follow.

Contact: James Walton (01743) 258915

9 Governance Assurance: Annual Audit Committee Self-Assessment (Pages 63 - 90)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

10 Third line assurance: Internal Audit Charter (Pages 91 - 110)

The report of the Interim Audit Service Manager is attached.

Contact: Katie Williams 07584 217067

11 Third line assurance: Internal Audit performance report and revised Annual Audit Plan 2022/23 (Pages 111 - 130)

The report of the Interim Audit Service Manager is attached.

Contact: Katie Williams 07584 217067

12 Third line assurance: External Audit, PSAA Appointments (Pages 131 - 134)

The report of the Executive Director of Resources (Section 151 Officer) is attached.

Contact: James Walton (01743) 258915

13 Third line assurance: External Audit, Draft Audit Findings Report 2021/22

The report of the Engagement Lead to follow.

Contact: Grant Patterson (0121) 232 5296

14 Date and Time of Next Meeting

The next meeting of the Audit Committee will be held on the 14 February 2023 at 10.00 am.

15 Exclusion of Press and Public

To RESOLVE that in accordance with the provision of Schedule 12A of the Local Government Act 1972, Section 5 of the Local Authorities (Executive Arrangements)(Meetings and Access to Information)(England) Regulations and

Paragraphs 2, 3 and 7 of the Council's Access to Information Rules, the public and press be excluded during consideration of the following items.

16 Exempt minutes of the previous meeting held on the 15th September 2022
(Pages 135 - 136)

The Exempt Minutes of the meeting held on the 15 September 2022 are attached for confirmation.

Contact: Michelle Dulson 01743 257719

17 Third line assurance: Fraud, Special Investigation and RIPA Updates
(Exempted by Categories 2, 3 and 7) (Pages 137 - 140)

The exempt report of the Interim Audit Service Manager is attached.

Contact: Barry Hanson 07990 086409